

1. Name of Listed Entity: APL Apollo Tubes Limited
2. Scrip Code/Name of Scrip/Class of Security: APLAPOLLO & BSE-533758
3. Share HoldingPatternFiledunder:31b
4. Share Holding Pattern as on :30-Sep-2016
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I-Summary Statement holding of specified securities

Category(I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares (IV)	No. of Partly paid equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a% of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a% assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
			No of Voting Rights			Total asa % of (A+B+C)	No. (a)	Asa % of total Shares held (b)	No. (a)	Asa % of total Shares held (b)							
			Class seg: X	Class seg: Y	Total												
A	Promoter & Promoter Group	2	9140187	0	0	9140187	38.75	9140187	0	9140187	38.75	0	0			9140187	
B	Public	53408	14449768	0	0	14449768	61.25	14449768	0	14449768	61.25	0	0			14408047	
C	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0			0	
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0			0	
	Total	5342	23589955	0	0	23589955	100	23589955	0	23589955	100	0	0			23548234	

Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of older shares (III)	No. of fully paid up equity share held (IV)	Partly paid-up shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII= IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI)= (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights	Total as a % of Total Voting Rights				No. (a)	As a % of total Share held (b)	No. (a)	As a % of total share held (b)		
								Class X	Class Y	Total								
l	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals/Hindu undivided Family		1115000	0	0	1115000	4.73	1115000	0	1115000	4.73	0	4.73	0	0			1115000
	ASHOK KUMAR GUPTA	AAC PG19 51E	1115000	0	0	1115000	4.73	1115000	0	1115000	4.73	0	4.73	0	0			1115000
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Any Other (specify)		18025187	0	0	8025187	34.02	8025187	0	8025187	34.02	0	34.02	0	0			8025187
	BODIES CORPORATE		18025187	0	0	8025187	34.02	8025187	0	8025187	34.02	0	34.02	0	0			8025187
	APL INFRASTRU	AAD CB00	18025187	0	0	8025187	34.02	8025187	0	8025187	34.02	0	34.02	0	0			8025187

	CTURE PRIVATE LIMITED	69J									7								
	Sub-Total (A)(1)		2	91401 87	0	0	914018 7	38.75	91401 87	0	914 018 7	38.75	0	38.75	0	0			9140187
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		2	91401 87	0	0	914018 7	38.75	91401 87	0	914 018 7	38.75	0	38.75	0	0			9140187

Table III- Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity share held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV+V+VI)	Shareholding % calculated as per SCR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Share held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Mutual Funds/		5	4078085	0	0	4078085	17.29	4078085	0	4078085	17.29	0	0					4078085
	DSP BLACKROCK 3 YEARS CLOSE ENDED EQUITY FUND	AXE PK1299E	0	320248	0	0	320248	1.36	320248	0	320248	1.36	0	0					320248
	DSP BLACKROCK MICRO CAP FUND	AAAJD0430B	0	1024797	0	0	1024797	4.34	1024797	0	1024797	4.34	0	0					1024797
	HDFC TRUSTEE COMPANY LIMITED - HDFC PRUDENCE FUND	AAATH1809A	0	1166000	0	0	1166000	4.94	1166000	0	1166000	4.94	0	0					1166000
	IDFC PREMIER EQUITY FUND	AAETS9556K	0	1543699	0	0	1543699	6.54	1543699	0	1543699	6.54	0	0					1543699
b	Venture		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0

	Capital Funds																		
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Foreign Portfolio Investors		6	140908	0	0	140908.6	140908.6	0	140908	.6	0	.6	0	0			140908	
f	Financial Institutions/ Banks		2	9127	0	0	9127.04	9127.04	0	9127	.04	0	.04	0	0			9127	
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
	Sub-Total (B)(1)		13	4228120	0	0	4228120.17.93	4228120.17.93	0	4228120	17.93	0	17.93	0	0			4228120	
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Individuals -		4633	3061823	0	0	3061823.12.98	3061823.12.98	0	3061823	12.98	0	12.98	0	0	0	0	3020102	

										3								
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		4620	1373094	0	0	1373094	5.82	1373094	0	1373094	5.82	0	5.82	0	0		1331373
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		13	1688729	0	0	1688729	7.16	1688729	0	1688729	7.16	0	7.16	0	0		1688729
	ASHISH KACHOLIA	AAD PK07 57F	0	549587			549587	2.33	549587		549587	2.33		2.33		0		549587
	SURESH KUMAR AGARWAL	AAG FR08 22H	0	535957			535957	2.27	535957		535957	2.27		2.27		0		535957
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
e	Any Other (specify)		694	7159825	0	0	7159825	30.35	7159825	0	7159825	30.35	0	30.35	0	0		7159825
	Trusts	0	3	300			300	0	300		300	0		0		0		300
	NRI & Repat	0	211	1032806			1032806	4.38	1032806		1032806	4.38		4.38		0		1032806

	SAMEER MAHENDRA SAMPAT	AAE PS40 95B	0	558500			558500	2.37	558500		558500	2.37		2.37		0		558500
	Clearing Members		0	45	16251		16251	.07	16251		16251	.07		.07		0		16251
	HUF		0	153	297077		297077	1.26	297077		297077	1.26		1.26		0		297077
	Overseas corporate bodies		0	19	4814238		4814238	20.41	4814238		4814238	20.41		20.41		0		4814238
	EMBLEM FII	AAC CE63 54Q	0		739004		739004	3.13	739004		739004	3.13		3.13		0		739004
	KITARA PIIN 1001	AAE CK34 82F	0		300000		300000	12.72	300000		300000	12.72		12.72		0		300000
	KOTAK MAHINDRA (INTERNATIONAL) LIMITED	AAB CK77 79G	0		852000		852000	3.61	852000		852000	3.61		3.61		0		852000
	Bodies Corporate		0	263	999153		999153	4.24	999153		999153	4.24		4.24		0		999153
	Sub-Total (B)(3)			5327	102210648	0	0	10221648	43.33	10221648	0	10221648	43.33	0	43.33	0	0	10179927
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)			5340	144490768	0	0	144490768	61.26	144490768	0	144490768	61.26	0	61.26	0	0	14408047

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %