

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



## Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

### I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L74899DL1986PLC023443

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACB0960D

(ii) (a) Name of the company

APL APOLLO TUBES LIMITED

(b) Registered office address

37 HARGOBIND ENCLAVEVIKAS MARG  
DELHI  
Delhi  
110092  
India

(c) \*e-mail ID of the company

comsec@aplapollo.com

(d) \*Telephone number with STD code

1204041400

(e) Website

www.aplapollo.com

(iii) Date of Incorporation

24/02/1986

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☒ Yes

☐ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	NSE A	1,024
2	BSE A	1

(b) CIN of the Registrar and Transfer Agent

U74899DL1994PLC061802

Pre-fill

Name of the Registrar and Transfer Agent

ABHIPRA CAPITAL LIMITED

Registered office address of the Registrar and Transfer Agents

G.F. 58-59, WORLD TRADE CENTRE, BARAKHAMBA LANE  
CONNAUGHT PLACE

(vii) \*Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

23/07/2021

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

☐ Yes ☒ No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C7	Metal and metal products	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

6

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	SHRI LAKSHMI METAL UDYOG	U85110DL1994PLC224835	Subsidiary	100
2	APOLLO METALEX PRIVATE LIM	U27104DL2006PTC146579	Subsidiary	100

3	Blue Ocean Projects Private Limited	U70109DL2011PTC224580	Subsidiary	100
4	APL Apollo Building Products Private Limited	U27200DL2019PTC358966	Subsidiary	100
5	Apollo Tricoat Tubes Limited	L74900DL1983PLC014972	Subsidiary	55.82
6	APL Apollo Tubes FZE		Subsidiary	100

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	225,000,000	124,896,000	124,896,000	124,896,000
Total amount of equity shares (in Rupees)	450,000,000	249,792,000	249,792,000	249,792,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	225,000,000	124,896,000	124,896,000	124,896,000
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	450,000,000	249,792,000	249,792,000	249,792,000

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	10	124,345,065	124345075	248,690,150	248,690,150	
Increase during the year	0	550,925	550925	1,101,850	1,101,850	152,795,094
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	550,925	550925	1,101,850	1,101,850	152,795,094
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	10	124,895,990	124896000	249,792,000	249,792,000	
Preference shares						

<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

INE702C01027

**(ii)** Details of stock split/consolidation during the year (for each class of shares)

1

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares	24,949,325		
	Face value per share	10		
After split / Consolidation	Number of shares	124,746,625		
	Face value per share	2		

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

☒ Nil

[Details being provided in a CD/Digital Media]

☐ Yes ☐ No ☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes ☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text"/>
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Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor							
Transferor's Name							
	Surname		middle name		first name		
Ledger Folio of Transferee							
Transferee's Name							
	Surname		middle name		first name		

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
<b>Non-convertible debentures</b>	0	0	0	0
<b>Partly convertible debentures</b>	0	0	0	0
<b>Fully convertible debentures</b>	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

60,079,621,811

**(ii) Net worth of the Company**

14,487,902,662

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	5,711,000	4.57	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	40,500,935	32.43	0	
10.	Others	0	0	0	
	<b>Total</b>	46,211,935	37	0	0

**Total number of shareholders (promoters)**

5

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage



1.	Individual/Hindu Undivided Family				
	(i) Indian	17,082,586	13.68	0	
	(ii) Non-resident Indian (NRI)	5,644,840	4.52	0	
	(iii) Foreign national (other than NRI)	60,000	0.05	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	4,811,346	3.85	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	30,225,329	24.2	0	
7.	Mutual funds	6,760,060	5.41	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	12,680,834	10.15	0	
10.	Others Trust, Clearing Members, IE	1,419,070	1.14	0	
	<b>Total</b>	78,684,065	63	0	0

**Total number of shareholders (other than promoters)**

55,602

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

55,607

**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

152

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
SMALLCAP WORLD FUND	DEUTSCHE BANK AG DB HOUSE, H			5,523,149	4.42
KITARA PIIN 1101	C/O KOTAK MAHINDRA BANK LIM			4,260,000	3.41
GOLDMAN SACHS INC	DEUTSCHE BANK AG DB HOUSE, H			1,754,685	1.4
CAISSE DE DEPOT ET F	DEUTSCHE BANK AG, DB HOUSE H			1,500,000	1.2

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
TAIYO GREATER INDIA	DEUTSCHE BANK AG, DB HOUSE H			1,461,645	1.17
FIDELITY FUNDS - IND	CITIBANK N.A. CUSTODY SERVICES			1,459,625	1.17
VANGUARD TOTAL IN	JPMORGAN CHASE BANK N.A. IND			1,127,603	0.9
VANGUARD EMERGIN	JPMORGAN CHASE BANK N.A. IND			1,046,782	0.84
MOBIUS INVESTMENT	CITIBANK N.A. CUSTODY SERVICES			938,175	0.75
LONG TERM INDIA FUI	KOTAK MAHINDRA BANK LIMITED			800,000	0.64
NEW CAPITAL UCITS F	HSBC SECURITIES SERVICES 11TH F			700,000	0.56
GOVERNMENT PENSIC	CITIBANK N.A. CUSTODY SERVICES			574,955	0.46
HOSTPLUS POOLED SI	CITIBANK N.A. CUSTODY SERVICES			542,163	0.43
HEALTH EMPLOYEES S	JPMORGAN CHASE BANK N.A. IND			455,554	0.36
FIDELITY FUNDS - PAC	CITIBANK N.A. CUSTODY SERVICES			444,261	0.36
INDIA EMERGING OPP	C/O KOTAK MAHINDRA BANK LIMI			429,531	0.34
VANGUARD FIDUCIAR	JPMORGAN CHASE BANK N.A. IND			366,752	0.29
KITARA INDIA MICRO	C/O KOTAK MAHINDRA BANK LIMI			326,909	0.26
MOBIUS SICAV - MOBI	STANDARD CHARTERED BANK Sec			299,990	0.24
BERNSTEIN FUND, INC	DEUTSCHE BANK AG, DB HOUSE H			279,760	0.22
RWC FUNDS- RWC GL	HSBC SECURITIES SERVICES 11TH F			277,622	0.22
EMERGING MARKETS	CITIBANK N.A. CUSTODY SERVICES			276,645	0.22
MANULIFE GLOBAL FU	CITIBANK N.A. CUSTODY SERVICES			237,156	0.19
CALAMOS EVOLVING	DEUTSCHE BANK AG DB HOUSE, H			236,267	0.19
VANGUARD FTSE ALL-	DEUTSCHE BANK AG DB HOUSE, H			233,947	0.19

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
**(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	3	5
Members (other than promoters)	27,242	55,602
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	1	2	1	2	0.14	0
<b>B. Non-Promoter</b>	1	4	1	4	0.06	0.01
(i) Non-Independent	1	0	1	0	0.06	0
(ii) Independent	0	4	0	4	0	0.01
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	<b>2</b>	<b>6</b>	<b>2</b>	<b>6</b>	<b>0.2</b>	<b>0.01</b>

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

10

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Vinay Gupta	00005149	Director	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Sanjay Gupta	00233188	Managing Director	175,000	
Neeru Abrol	01279485	Director	0	
Abhilash Lal	03203177	Director	0	
Anil Kumar Bansal	06752578	Director	5,000	
Ashok Kumar Gupta	01722395	Director	0	
Virendra Singh Jain	00253196	Director	260	
Romi Sehgal	03320454	Director	69,420	
Deepak Goyal	AKOPK7710K	CFO	39,425	
Deepak Charuvil Sanku	AUSPS1636A	Company Secretar	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	29/09/2020	26,800	65	1.14

**B. BOARD MEETINGS**

\*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	30/06/2020	8	7	87.5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
2	13/08/2020	8	8	100
3	28/10/2020	8	8	100
4	25/01/2021	8	7	87.5
5	27/02/2021	8	7	87.5

### C. COMMITTEE MEETINGS

Number of meetings held

11

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	30/06/2020	4	4	100
2	AUDIT COMM	13/08/2020	4	4	100
3	AUDIT COMM	28/10/2020	4	4	100
4	AUDIT COMM	04/11/2020	4	3	75
5	AUDIT COMM	25/01/2021	4	3	75
6	AUDIT COMM	27/02/2021	4	3	75
7	NOMINATION	13/08/2020	3	3	100
8	NOMINATION	23/01/2021	3	2	66.67
9	STAKEHOLDER	23/01/2021	3	3	100
10	CORPORATE	28/10/2020	3	3	100

### D. \* ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
								23/07/2021 (Y/N/NA)
1	Vinay Gupta	5	4	80	8	5	62.5	No
2	Sanjay Gupta	5	5	100	0	0	0	No

3	Neeru Abrol	5	5	100	9	9	100	Yes
4	Abhilash Lal	5	5	100	8	8	100	Yes
5	Anil Kumar Ba	5	4	80	9	8	88.89	Yes
6	Ashok Kumar	5	4	80	3	3	100	Yes
7	Virendra Singh	5	5	100	4	4	100	Yes
8	Romi Sehgal	5	5	100	0	0	0	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SANJAY GUPTA	Managing Director	35,000,000	0	0	0	35,000,000
	Total		35,000,000	0	0	0	35,000,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Deepak Goyal	CFO	9,400,000	0	16,400,000	0	25,800,000
2	Deepak Charuvil Sa	CS	2,300,000	0	0	0	2,300,000
	Total		11,700,000	0	16,400,000	0	28,100,000

Number of other directors whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Abhilasha Lal	Independent Dir	1,000,000				1,000,000
2	Anil Kumar Bansal	Independent Dir	900,000				900,000
3	Neeru Abrol	Independent Dir	1,050,000				1,050,000
4	Virendra Singh Jain	Independent Dir	800,000				800,000
5	Ashok Kumar Gupta	Director	100,000				100,000
	Total		3,850,000				3,850,000

**XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES**

- \* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

**XII. PENALTY AND PUNISHMENT - DETAILS THEREOF**

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

☒ Yes ☐ No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Shiv Kumar Gupta

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

7343

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
 (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

### Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

#### To be digitally signed by

Director

VINAY  
GUPTA

DIN of the director

00005149

#### To be digitally signed by

SANKUNNI  
DEEPAK  
CHARUVIL

☒ Company Secretary

☐ Company secretary in practice

Membership number

5060

Certificate of practice number

#### Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

#### List of attachments

Attach

Attach

Attach

Attach

MGT-8 2020-21.pdf  
Committee meetings.pdf  
List of FII's.pdf  
list of shareholders.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**