

1. Name of Listed Entity: APL Apollo Tubes Limited
2. Scrip Code/Name of Scrip/Class of Security: APLAPOLLO
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Dec-2017
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
As a % of (A+B+C2)			Clas s eg: X	Clas s eg: y	Tot al	Total as a % of (A+B+ C)											
A	Promoter & Promoter	3	8840187	0	0		8840187	37.25	8840187	0	884018	37.25	0	0.00			8840187

	Group									7								
B	Public	14015	14889618	0	0	14889618	62.75	14889618	0	14889618	62.75	0	62.75	0	0.00			14889615
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total	14018	23729805	0	0	23729805	100.00	23729805	0	23729805	100.00	0	100.00	0	0.00			23729802

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of share holder	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights Class X	No of Voting Rights Class Y	Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
1 Indian		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
a Individuals/Hindu undivided Family		2	815000	0	0	815000	3.43	815000	0	815000	3.43	0	3.43	0	0.00			815000
Veera Gupta	AAG PG37 69C		750000	0	0	750000	3.16	750000	0	750000	3.16	0	3.16	0	0.00			750000
ASHOK KUMAR GUPTA	AAC PG19 51E		65000	0	0	65000	0.27	65000	0	65000	0.27	0	0.27	0	0.00			65000
b Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d Any Other (specify)		1	8025187	0	0	8025187	33.82	8025187	0	8025187	33.82	0	33.82	0	0.00			8025187
Body		1	8025187	0	0	8025187	33.82	8025187	0	8025187	33.82	0	33.82	0	0.00			8025187

	Corporate			87			7		87		5187							
	APL INFRASTRUCTURE PRIVATE LIMITED	AAD CB0069J		8025187	0	0	8025187	33.82	8025187	0	8025187	33.82	0	33.82	0	0.00		8025187
	Sub-Total (A)(1)		3	8840187	0	0	8840187	37.25	8840187	0	8840187	37.25	0	37.25	0	0.00		8840187
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	8840187	0	0	8840187	37.25	8840187	0	8840187	37.25	0	37.25	0	0.00		8840187

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
									Class X	Class Y								
1	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
a	Mutual Funds/		12	3227347	0	0	3227347	13.60	3227347	0	3227347	13.60	0	0.00				3227347
	HDFC TRUSTEE COMPANY LIMITED - HDFC PRUDENC FUND	AAATH1809A	0	857625	0	0	857625	3.61	857625	0	857625	3.61	0	0.00				857625
	IDFC PREMIER EQUITY FUND	AAETS9556K	0	1028690	0	0	1028690	4.34	1028690	0	1028690	4.34	0	0.00				1028690
	DSP BLACKROCK MICRO CAP FUND	AAAJD0430B	0	982098	0	0	982098	4.14	982098	0	982098	4.14	0	0.00				982098
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0
c	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0

e	Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
f	Financial Institutions/ Banks		2	8720	0	0	8720	0.04	8720	0	8720	0.04	0	0.04	0	0.00			8720
g	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
i	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(1)		14	32360	0	0	32360	13.64	32360	0	32360	13.64	0	13.64	0	0.00			32360
				67			7		67		606								7
2	Central Government/ State Government(s)) / President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
3	Non-institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals -		12503	35165	0	0	35165	14.82	35165	0	35165	14.82	0	14.82	0	0.00	0	0.00	35165
				19			9		19		9								16
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		12490	21732	0	0	21732	9.16	21732	0	21732	9.16	0	9.16	0	0.00			21732
				43			3		43		3								40
ii	Individual		13	13432	0	0	13432	5.66	13432	0	134	5.66	0	5.66	0	0.00			13432
				76			7		6		7								76

	shareholders holding nominal share capital in excess of Rs. 2 lakhs.			76			6		76		3276							
	SURESH KUMAR AGARWAL	AAG FR08 22H	0	426757	0	0	426757	1.80	426757	0	426757	1.80	0	1.80	0	0.00		426757
	ASHISH KACHOLIA	AAD PK07 57F	0	425000	0	0	425000	1.79	425000	0	425000	1.79	0	1.79	0	0.00		425000
b	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
e	Any Other (specify)		1498	8137032	0	0	8137032	34.29	8137032	0	8137032	34.29	0	34.29	0	0.00		8137032
	Trust		14	10885	0	0	10885	0.05	10885	0	10885	0.05	0	0.05	0	0.00		10885
	Foreign Nationals		1	16100	0	0	16100	0.07	16100	0	16100	0.07	0	0.07	0	0.00		16100
	Non-Resident Indian (NRI)		576	1057825	0	0	1057825	4.46	1057825	0	1057825	4.46	0	4.46	0	0.00		1057825
	SAMEER MAHENDRA SAMPAT	AAE PS40 95B	0	558500	0	0	558500	2.35	558500	0	558500	2.35	0	2.35	0	0.00		558500
	Clearing member		45	7454	0	0	7454	0.03	7454	0	7454	0.03	0	0.03	0	0.00		7454

	Overseas Corporate Bodies		47	5948496	0	0	5948496	25.07	5948496	0	5948496	25.07	0	25.07	0	0.00		5948496
	EMBLEM FII	AACE6354Q	0	739004	0	0	739004	3.11	739004	0	739004	3.11	0	3.11	0	0.00		739004
	KITARA PIIN 1001	AAECK3482F	0	300000	0	0	300000	12.64	300000	0	300000	12.64	0	12.64	0	0.00		300000
	KITARA PIIN 1001	AAECK4362Q	0	852000	0	0	852000	3.59	852000	0	852000	3.59	0	3.59	0	0.00		852000
	GOLDMAN SACHS INDIA LIMITED	AADCG0260C	0	626434	0	0	626434	2.64	626434	0	626434	2.64	0	2.64	0	0.00		626434
	Hindu Undivided Family		279	151026	0	0	151026	0.64	151026	0	151026	0.64	0	0.64	0	0.00		151026
	Body Corporate		536	945246	0	0	945246	3.98	945246	0	945246	3.98	0	3.98	0	0.00		945246
	Sub-Total (B)(3)		14001	11653551	0	0	11653551	49.11	11653551	0	11653551	49.11	0	49.11	0	0.00		11653548
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		14015	14889618	0	0	14889618	62.75	14889618	0	14889618	62.75	0	62.75	0	0.00		14889615

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %