

1. Name of Listed Entity: APL Apollo Tubes Limited
2. Scrip Code/Name of Scrip/Class of Security: APLAPOLLO
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Jun-2019
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	Yes	Promoter and Promoter Group
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares (IV)	No. of Partly paid-up equity shares (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Classes	Classes	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
							As a % of (A+B+C2)	Classes eg: X	Classes eg: y	Total							

A	Promoter & Promoter Group	3	9015187	0	0	9015187	37.18	9015187	0	9015187	37.18	500000	38.44	8425187	93.46			9015187
B	Public	235854	15235194	0	0	15235194	62.82	15235194	0	15235194	62.82	0	61.56		0.00			15235192
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
	Total	235881	24250381	0	0	24250381	100.00	24250381	0	24250381	100.00	500000	100.00	8425187	34.74			24250379

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)			
1	Indian		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
a	Individuals/Hindu undivided Family		2	590000	0	0	590000	2.43	590000	0	590000	2.43	0	2.38	0	0.00			590000
	ASHOK KUMAR GUPTA	AAC PG19 51E	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
	Veera Gupta	AAG PG37 69C	1	590000	0	0	590000	2.43	590000	0	590000	2.43	0	2.38	0	0.00			590000
b	Central Government/ State Government(s)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
c	Financial Institutions/ Banks		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
d	Any Other (specify)		1	8425187	0	0	8425187	34.74	8425187	0	8425187	34.74	500000	36.06	8425187	100.00			8425187
	Bodies		1	8425187	0	0	8425187	34.74	8425187	0	8425187	34.74	500000	36.06	8425187	100.00			8425187

	Corporate			87			7		87		518				187	00		
	APL INFRASTRUC TURE PRIVATE LIMITED	AAD CB00 69J	1	84251 87	0	0	842518 7	34.74	842510 87	842 518 7	34.74	500000	36.06	8425 187	100. 00			8425187
	Sub-Total (A)(1)		3	90151 87	0	0	901518 7	37.17	901510 87	901 518 7	37.17	500000	38.44	8425 187	93.4 6			9015187
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
a	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	90151 87	0	0	901518 7	37.17	901510 87	901 518 7	37.17	500000	38.44	8425 187	93.4 6			9015187

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
a	Mutual Funds/		8	2704176	0	0	2704176	11.15	2704176	0	2704176	11.15	0	10.93	0.00			2704176	
	DSP SMALL CAP FUND	AAAJD0430B	1	1003322	0	0	1003322	4.14	1003322	0	1003322	4.14	0	4.05	0.00			1003322	
	IDFC MULTI CAP FUND	AAETS9556K	1	357818	0	0	357818	1.48	357818	0	357818	1.48	0	1.45	0.00			357818	
	KOTAK EMERGING EQUITY SCHEME	AAATK4475F	1	516471	0	0	516471	2.13	516471	0	516471	2.13	0	2.09	0.00			516471	
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0.00			0	
c	Alternate Investment Funds		3	326941	0	0	326941	1.35	326941	0	326941	1.35	0	1.32	0.00			326941	
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0.00			0	
e	Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0.00			0	
f	Financial Institutions/		3	14529	0	0	14529	0.06	14529	0	14529	0.06	0	0.06	0.00			14529	

	Banks																		
g	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
h	Provident Funds/ Pension Funds		1	4057	0	0	4057	0.02	4057	0	4057	0.02	0	0.02		0.00			4057
i	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
	Sub-Total (B)(1)		15	3049703	0	0	3049703	12.58	3049703	0	3049703	12.58	0	12.33	0	0.00			3049703
2	Central Government/ State Government(s))/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
3	Non-institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals -		20005	3209533	0	0	3209533	13.24	3209533	0	3209533	13.24	0	12.97	0	0.00	0	0.00	3209531
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		19999	2880380	0	0	2880380	11.88	2880380	0	2880380	11.88	0	11.64		0.00			2880378
ii	Individual shareholders holding nominal share capital in excess of Rs.		6	329153	0	0	329153	1.36	329153	0	329153	1.36	0	1.33		0.00			329153

	2 lakhs.																	
b	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
c	Employee Trusts		2	1668	0	0	1668	0.01	1668	0	1668	0.01	0	0.01		0.00		1668
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
e	Any Other (specify)		3563	8974290	0	0	8974290	37.01	8974290	0	8974290	37.01	0	36.26		0.00		8974290
	Trusts		9	23101	0	0	23101	0.10	23101	0	23101	0.10	0	0.09		0.00		23101
	Foreign Nationals		2	24513	0	0	24513	0.10	24513	0	24513	0.10	0	0.10		0.00		24513
	Overseas Corporate Bodies		58	5985609	0	0	5985609	24.68	5985609	0	5985609	24.68	0	24.18		0.00		5775310
	GOLDMAN SACHS INDIA LIMITED	AAD CG0260C	1	812153	0	0	812153	3.35	812153	0	812153	3.35	0	3.28		0.00		812153
	KITARA PIIN 1101	AAE CK4362Q	1	852000	0	0	852000	3.51	852000	0	852000	3.51	0	3.44		0.00		852000
	KITARA PIIN 1001	AAE CK3482F	1	300000	0	0	300000	12.37	300000	0	300000	12.37	0	12.12		0.00		300000
	MOBIUS INVESTMENT TRUST PLC	AAM CM2723R	1	284992	0	0	284992	1.18	284992	0	284992	1.18	0	1.15		0.00		284992
	Bodies Corporate		664	1422484	0	0	1422484	5.87	1422484	0	1422484	5.87	0	5.75		0.00		1422484

										4							
ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	AAA CI7351P	1	251648	0	0	251648	1.04	251648	0	251648	1.04	0	1.02	0.00			251648
Clearing member		16	96848	0	0	96848	0.40	96848	0	96848	0.40	0	0.39	0.00			96848
HUF		472	183751	0	0	183751	0.76	183751	0	183751	0.76	0	0.74	0.00			183751
Non-Resident Indian (NRI)		2342	1237984	0	0	1237984	5.11	1237984	0	1237984	5.11	0	5.00	0.00			1237984
SAMEER MAHENDRA SAMPAT	AAE PS4095B	1	558500	0	0	558500	2.30	558500	0	558500	2.30	0	2.26	0.00			558500
Sub-Total (B)(3)		23570	12185491	0	0	12185491	50.26	12185491	0	12185491	50.26	0	49.24	0.00			12185489
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		23585	15235194	0	0	15235194	62.84	15235194	0	15235194	62.84	0	61.57	0.00			15235192

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up shares held (IV)	Partly paid-up equity shares (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								Class X	Class Y	Total			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)		
1	Custodian/DR Holder		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
				Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

I	Sanjay Gupta	ALQPG667 6F		India	34.74	0.00	0.00			
A	APL Infrastructure Private Limited	AADCB006 9J		Indian	34.74	0.00	0.00	No	No	23-Jan-2008

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %