

1. Name of Listed Entity: APL Apollo Tubes Limited
2. Scrip Code/Name of Scrip/Class of Security: APLAPOLLO
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Mar-2018
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Clas s eg: X	Clas s eg: y	Tot al								
								As a % of (A+B+C2)										
A	Promoter & Promoter	3	8840187	0	0	8840187	37.25	8840187	0	884018	37.25	0	37.25		0.00		8840187	

	Group									7								
B	Public	14902	14889618	0	0	14889618	62.75	14889618	0	14889618	62.75	0	62.75	139850	0.94			14889615
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
	Total	14905	23729805	0	0	23729805	100.00	23729805	0	23729805	100.00	0	100.00	139850	0.59			23729802

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding %, as a % assuming full conversion of convertible securities ((VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of shares held in dematerialized form (XIV)									
								No of Voting Rights	Total as a % of Total Voting rights	Class X			Class Y	Total	No. (a)	As a % of total Shares held (b)		No. (a)	As a % of total shares held (b)							
																				No of Voting Rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)
																				Class X	Class Y	Total				
1	Indian		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0									
a	Individuals/Hindu undivided Family		2	815000	0	0	815000	3.43	815000	0	815000	3.43	0	3.43		0.00		815000								
	ASHOK KUMAR GUPTA	AAC PG19 51E	1	65000	0	0	65000	0.27	65000	0	65000	0.27	0	0.27		0.00		65000								
	Veera Gupta	AAG PG37 69C	1	750000	0	0	750000	3.16	750000	0	750000	3.16	0	3.16		0.00		750000								
b	Central Government/ State Government(s)		0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0									
c	Financial Institutions/ Banks		0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0									
d	Any Other (specify)		1	8025187	0	0	8025187	33.82	8025187	0	8025187	33.82	0	33.82		0.00		8025187								
	Bodies		1	8025187	0	0	8025187	33.82	8025187	0	8025187	33.82	0	33.82		0.00		8025187								

	Corporate			87			7		87		5187							
	APL INFRASTRUCTURE PRIVATE LIMITED	AAD CB00 69J	1	8025187	0	0	8025187	33.82	8025187	0	8025187	33.82	0	33.82		0.00		8025187
	Sub-Total (A)(1)		3	8840187	0	0	8840187	37.25	8840187	0	8840187	37.25	0	37.25	0	0.00		8840187
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	8840187	0	0	8840187	37.25	8840187	0	8840187	37.25	0	37.25	0	0.00		8840187

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
a	Mutual Funds/		12	3509240	0	0	3509240	14.79	3509240	0	3509240	14.79	0	14.79	0	0.00			3509240
	IDFC PREMIER EQUITY FUND	AAE TS9556K	1	867063	0	0	867063	3.65	867063	0	867063	3.65	0	3.65	0	0.00			867063
	HDFC TRUSTEE COMPANY LIMITED - HDFC PRUDENC FUND	AAA TH1809A	1	672703	0	0	672703	2.83	672703	0	672703	2.83	0	2.83	0	0.00			672703
	DSP BLACKROCK SMALL CAP FUND	AAAJ D0430B	1	982098	0	0	982098	4.14	982098	0	982098	4.14	0	4.14	0	0.00			982098
	KOTAK EMERGING EQUITY SCHEME	AAA TK4475F	1	337094	0	0	337094	1.42	337094	0	337094	1.42	0	1.42	0	0.00			337094
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
f	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
g	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
i	Any Other (specify)		1	9818	0	0	9818	0.04	9818	0	9818	0.04	0	0.04	0	0.00			9818
	Other		1	9818	0	0	9818	0.04	9818	0	9818	0.04	0	0.04	0	0.00			9818
	Sub-Total (B)(1)		13	3519058	0	0	3519058	14.83	3519058	0	3519058	14.83	0	14.83	0	0.00			3519058
2	Central Government/ State Government(s))/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
3	Non-institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals -		13309	3407874	0	0	3407874	14.36	3407874	0	3407874	14.36	0	14.36	139850	4.10	0	0.00	3407871
i	Individual		13299	230807	0	0	230807	9.73	230807	0	230807	9.73	0	9.73	1398	6.06			2308069

	shareholders holding nominal share capital up to Rs. 2 lakhs.			72			2		72		8072				50			
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		10	1099802	0	0	1099802	4.63	1099802	0	1099802	4.63	0	4.63	0	0.00		1099802
	SURESH KUMAR AGARWAL	AAGFR0822H	1	400000	0	0	400000	1.69	400000	0	400000	1.69	0	1.69	0	0.00		400000
	ASHISH KACHOLIA	AADPK0757F	1	400000	0	0	400000	1.69	400000	0	400000	1.69	0	1.69	0	0.00		400000
b	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
e	Any Other (specify)		1580	7962686	0	0	7962686	33.56	7962686	0	7962686	33.56	0	33.56	0	0.00		7962686
	Trusts		10	11087	0	0	11087	0.05	11087	0	11087	0.05	0	0.05	0	0.00		11087
	Foreign Nationals		1	16100	0	0	16100	0.07	16100	0	16100	0.07	0	0.07	0	0.00		16100
	Non-Resident Indian (NRI)		620	1038885	0	0	1038885	4.38	1038885	0	1038885	4.38	0	4.38	0	0.00		1038885

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SAMEER MAHENDRA SAMPAT	AAE PS40 95B	1	558500	0	0	558500	2.35	558500	0	558500	2.35	0	2.35	0	0.00			558500
Clearing member		26	117990	0	0	117990	0.05	117990	0	117990	0.05	0	0.05	0	0.00			117990
Overseas Corporate Bodies		59	5437487	0	0	5437487	22.91	5437487	0	5437487	22.91	0	22.91	0	0.00			5437487
EMBLEM FII	AAE CE63 54Q	1	239004	0	0	239004	1.01	239004	0	239004	1.01	0	1.01	0	0.00			239004
KITARA PIIN 1001	AAE CK34 82F	1	300000	0	0	300000	12.64	300000	0	300000	12.64	0	12.64	0	0.00			300000
KITARA PIIN 1001	AAE CK43 62Q	1	852000	0	0	852000	3.59	852000	0	852000	3.59	0	3.59	0	0.00			852000
GOLDMAN SACHS INDIA LIMITED	AAD CG02 60C	1	626434	0	0	626434	2.64	626434	0	626434	2.64	0	2.64	0	0.00			626434
Bodies Corporate		508	1271278	0	0	1271278	5.36	1271278	0	1271278	5.36	0	5.36	0	0.00			1271278
HUF		356	176050	0	0	176050	0.74	176050	0	176050	0.74	0	0.74	0	0.00			176050
Sub-Total (B)(3)		14889	11370560	0	0	11370560	47.92	11370560	0	11370560	47.92	0	47.92	139850	1.23			11370557
Total Public Shareholding (B)= (B)(1)+(B)(2) +(B)(3)		14902	14889618	0	0	14889618	62.75	14889618	0	14889618	62.75	0	62.75	139850	0.94			14889615

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %