

1. Name of Listed Entity: APL Apollo Tubes Limited
2. Scrip Code/Name of Scrip/Class of Security: APLAPOLLO
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Dec-2019
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Classes	Total	Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Classes eg: X	Classes eg: y	Total							

A	Promoter & Promoter Group	2	9515187	0	0	9515187	38.26	9515187	0	9515187	38.26	0	38.26	8925187	93.8	0	0	9515187
B	Public	23723	15352078	0	0	15352078	61.74	15352078	0	15352078	61.74	0	61.74	0	0			15352076
C	Non Promoter-Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	23725	24867265	0	0	24867265	100	24867265	0	24867265	100	0	100	8925187	35.89	0	0	24867263

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding, as assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)			
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals/Hindu undivided Family		1	590000	0	0	590000	2.37	590000	0	590000	2.37	0	2.37	0	0	0	0	590000
	Ashok Kumar Gupta	AAC PG19 51E	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Veera Gupta	AAG PG37 69C	1	590000	0	0	590000	2.37	590000	0	590000	2.37	0	2.37	0	0	0	0	590000
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)		1	8925187	0	0	8925187	35.89	8925187	0	8925187	35.89	0	35.89	8925187	100	0	0	8925187
	Bodies		1	8925187	0	0	8925187	35.89	8925187	0	8925187	35.89	0	35.89	8925187	100	0	0	8925187

	Corporate			87			7		87		518				187				
	APL INFRASTRUC TURE PRIVATE LIMITED	AAD CB00 69J	1	89251 87	0	0	892518 7	35.89	89251 87	0	892 518 7	35.89	0	35.89	8925 187	100	0	0	8925187
	Sub-Total (A)(1)		2	95151 87	0	0	951518 7	38.26	95151 87	0	951 518 7	38.26	0	38.26	8925 187	93.8	0	0	9515187
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		2	95151 87	0	0	951518 7	38.26	95151 87	0	951 518 7	38.26	0	38.26	8925 187	93.8	0	0	9515187

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/		9	2935400	0	0	2935400	11.8	2935400	293	11.8	0	11.8	0	0			2935400	
	DSP SMALL CAP FUND	AAAJD0430B	1	1003322	0	0	1003322	4.03	1003322	100	4.03	0	4.03	0	0			1003322	
	IDFC MULTI CAP FUND	AAETS9556K	1	377699	0	0	377699	1.52	377699	377	1.52	0	1.52	0	0			377699	
	KOTAK EMERGING EQUITY SCHEME	AAATK4475F	1	568484	0	0	568484	2.29	568484	568	2.29	0	2.29	0	0			568484	
	HDFC TRUSTEE COMPANY LTD. A/C	AAATH1809A	1	294300	0	0	294300	1.18	294300	294	1.18	0	1.18	0	0			294300	
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
c	Alternate Investment Funds		2	340485	0	0	340485	1.37	340485	340	1.37	0	1.37	0	0			340485	
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
e	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0			0	

	Portfolio Investors																	
f	Financial Institutions/ Banks		1	10758	0	0	10758	0.04	10758	0	10758	0.04	0	0.04	0	0		10758
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
h	Provident Funds/ Pension Funds		4	43019	0	0	43019	1.73	43019	0	43019	1.73	0	1.73	0	0		43019
	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMIT	AAA CI735 1P	1	41910	0	0	41910	1.69	41910	0	41910	1.69	0	1.69	0	0		41910
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(1)		16	37168	0	0	37168	14.95	37168	0	37168	14.95	0	14.95	0	0		37168
2	Central Government/ State Government(s) )/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
a	Individuals -		2024	33301	0	0	33301	13.39	33301	0	33301	13.39	0	13.39	0	0		33301
i	Individual shareholders		2023	29599	0	0	29599	11.9	29599	0	29599	11.9	0	11.9	0	0		29599

	holding nominal share capital up to Rs. 2 lakhs.									5								
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		8	370237	0	0	370237	1.49	370237	0	370237	1.49	0	1.49	0	0		370237
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
c	Employee Trusts		2	1619	0	0	1619	0.01	1619	0	1619	0.01	0	0.01	0	0		1619
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
e	Any Other (specify)		3463	8303436	0	0	8303436	33.39	8303436	0	8303436	33.39	0	33.39	0	0		8303436
	Bodies Corporate		570	882582	0	0	882582	3.55	882582	0	882582	3.55	0	3.55	0	0		882582
	Clearing Members		78	36405	0	0	36405	0.15	36405	0	36405	0.15	0	0.15	0	0		36405
	Foreign Nationals		2	18888	0	0	18888	0.08	18888	0	18888	0.08	0	0.08	0	0		18888
	HUF		472	178710	0	0	178710	0.72	178710	0	178710	0.72	0	0.72	0	0		178710
	Non-Resident Indian (NRI)		2275	1231280	0	0	1231280	4.95	1231280	0	1231280	4.95	0	4.95	0	0		1231280
	SAMEER MAHENDRA	AAE PS40	1	570933	0	0	570933	2.3	570933	0	570933	2.3	0	2.3	0	0		570933

SAMPAT	95B																	
Overseas Corporate Bodies		56	59079051	0	0	5907951	23.76	59079051	0	5907951	23.76	0	23.76	0	0			5907951
MOBIUS INVESTMENT TRUST PLC	AAMCM2723R	1	307204	0	0	307204	1.24	307204	0	307204	1.24	0	1.24	0	0			307204
KITARA PIIN 1001	AAE CK3482F	1	300000	0	0	300000	12.06	300000	0	300000	12.06	0	12.06	0	0			3000000
GOLDMAN SACHS INDIA LIMITED	AADCG0260C	1	781164	0	0	781164	3.14	781164	0	781164	3.14	0	3.14	0	0			781164
KITARA PIIN 1101	AAE CK4362Q	1	852000	0	0	852000	3.43	852000	0	852000	3.43	0	3.43	0	0			852000
Trusts		10	47620	0	0	47620	0.19	47620	0	47620	0.19	0	0.19	0	0			47620
Sub-Total (B)(3)		23707	11635237	0	0	11635237	46.79	11635237	0	11635237	46.79	0	46.79	0	0			11635235
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		23723	15352078	0	0	15352078	61.74	15352078	0	15352078	61.74	0	61.74	0	0			15352076





I	Sanjay Gupta	ALQPG667 6F		India	35.89	35.89	36.06			
A	APL Infrastructure Private Limited	AADCB006 9J		India	35.89	35.89	36.06	No	No	01-Nov-2016

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
<b>Name of shareholder</b>	<b>Name of PAC</b>	<b>No. of share</b>	<b>Holding %</b>