

1. Name of Listed Entity: APL Apollo Tubes Limited
2. Scrip Code/Name of Scrip/Class of Security: APLAPOLLO
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on: 30-Jun-2017
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

| S. No. | Particulars | Yes/No | |
|--------|--|--------|--|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? | No | |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | |
| 4 | Whether the Listed Entity has any shares in locked-in? | No | |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | |
| 6 | Whether the Listed Entity has issued any differential Voting Rights? | No | |

Table I-Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. of shareholders (III) | No. of fully paid up shares held (IV) | No. of Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying (X) | Shareholding, as a % assuming full conversion of convertible securities (including Warrants) (XI) = (VII)+(X) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|------------------------------|----------------------------|---------------------------------------|--|---|--|--|---|--------------|---------|------------------------------|---|----------------------------------|---------|---|---------|---|
| | | | | | | | | Class seg: X | Class seg: y | Total | | | Total as a % of (A+B+C) | No. (a) | As a % of total Share held (b) | No. (a) | |
| A | Promoter & Promoter | 3 | 8840187 | 0 | 0 | 8840187 | 37.47 | 8840187 | 0 | 8840187 | 37.47 | 0 | 0 | | | 8840187 | |

| | | | | | | | | | | | | | | | | | | |
|----|--------------------------------|------|----------|---|---|----------|-------|----------|---|----------|-------|---|-------|---|---|---|---|----------|
| | Group | | | | | | | | | 7 | | | | | | | | |
| B | Public | 8987 | 14749768 | 0 | 0 | 14749768 | 62.53 | 14749768 | 0 | 14749768 | 62.53 | 0 | 62.53 | 0 | 0 | | | 14727147 |
| C | Non Promoter-Non Public | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| C1 | Shares underlying DRs | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| C2 | Shares held by Employee Trusts | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| | Total | 8990 | 23589955 | 0 | 0 | 23589955 | 100 | 23589955 | 0 | 23589955 | 100 | 0 | 100 | 0 | 0 | | | 23567334 |

Table II- Statements showing shareholding pattern of the Promoter and Promoter Group

| Category & Name of the Shareholders (I) | PAN (II) | No. of share holder (III) | No. of fully paid up equity share held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII= IV+V+VI) | Shareholding % calculate as per SCRR, 1957 (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No. of Underlying Outstanding convertible securities (including Warrants) (X) | Shareholding, as assuming full conversion of convertible securities (XI)= (VII)+(X) as a % of A+B+C2 | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | | | | | | |
|---|---|---------------------------|---|---------------------------------------|--|---------------------------------------|---|---|-------------------------------------|---------|---|--|----------------------------------|-------|---|--------------------------------|---|---------|--------------------------------|----------------------|---------|-------|-------------------------------------|
| | | | | | | | | No. of Voting Rights | Total as a % of Total Voting Rights | Class X | | | Class Y | Total | No. (a) | As a % of total Share held (b) | | No. (a) | As a % of total share held (b) | | | | |
| | | | | | | | | | | | | | | | | | | | | No. of Voting Rights | | | Total as a % of Total Voting Rights |
| | | | | | | | | | | | | | | | | | | | | Class X | Class Y | Total | |
| 1 | Indian | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | |
| a | Individuals/Hindu undivided Family | | 2 | 815000 | 0 | 0 | 815000 | 3.45 | 815000 | 0 | 815000 | 3.45 | 0 | 3.45 | 0 | 0 | | | 815000 | | | | |
| | ASHOK KUMAR GUPTA | AAC PG19 51E | | 65000 | 0 | 0 | 65000 | .28 | 65000 | 0 | 65000 | .28 | 0 | .28 | 0 | 0 | | | 65000 | | | | |
| | Veera Gupta | AAG PG37 69C | | 750000 | 0 | 0 | 750000 | 3.18 | 750000 | 0 | 750000 | 3.18 | 0 | 3.18 | 0 | 0 | | | 750000 | | | | |
| b | Central Government/ State Government(s) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 | | | | |
| c | Financial Institutions/ Banks | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 | | | | |
| d | Any Other (specify) | | 1 | 8025187 | 0 | 0 | 8025187 | 34.02 | 8025187 | 0 | 8025187 | 34.02 | 0 | 34.02 | 0 | 0 | | | 8025187 | | | | |
| | Body | | 1 | 8025187 | 0 | 0 | 8025187 | 34.02 | 8025187 | 0 | 8025187 | 34.02 | 0 | 34.02 | 0 | 0 | | | 8025187 | | | | |

| | | | | | | | | | | | | | | | | | | |
|---|---|--------------------|---|---------|---|---|---------|-------|---------|---|---------|-------|---|-------|---|---|--|---------|
| | Corporate | | | 87 | | | 7 | | 87 | | 5187 | | | | | | | |
| | APL INFRASTRUC TURE PRIVATE LIMITED | AAD CB00 69J | | 8025187 | 0 | 0 | 8025187 | 34.02 | 8025187 | 0 | 8025187 | 34.02 | 0 | 34.02 | 0 | 0 | | 8025187 |
| | Sub-Total (A)(1) | | 3 | 8840187 | 0 | 0 | 8840187 | 37.47 | 8840187 | 0 | 8840187 | 37.47 | 0 | 37.47 | 0 | 0 | | 8840187 |
| 2 | Foreign | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 |
| a | Individuals (Non-Resident Individuals/ Foreign Individuals) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 |
| b | Government | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 |
| c | Institutions | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 |
| d | Foreign Portfolio Investor | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 |
| e | Any Other (specify) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 |
| | Sub-Total (A)(2) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 |
| | Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 3 | 8840187 | 0 | 0 | 8840187 | 37.47 | 8840187 | 0 | 8840187 | 37.47 | 0 | 37.47 | 0 | 0 | | 8840187 |

Table III- Statements showing shareholding pattern of the Public shareholder

| | Category & Name of the Shareholders (I) | PAN (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held = IV+V+VI | Shareholding % calculated as per SCR, 1957 As a % of (A+B+C2) VIII | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|---|---|------------|----------------------------|--|---------------------------------------|--|----------------------------------|--|---|---------|---------|--|---|-------------------------------------|---------|---|--------------------------|---|--|
| | | | | | | | | | No. of Voting Rights | | | | | Total as a % of Total Voting Rights | No. (a) | As a % of total Shares held (b) | No. (Not applicable) (a) | | As a % of total Shares held (Not applicable) (b) |
| | | | | | | | | | Class X | Class Y | Total | | | | | | | | |
| 1 | Institutions | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| a | Mutual Funds/ | | 6 | 3585672 | 0 | 0 | 3585672 | 15.2 | 3585672 | 0 | 3585672 | 15.2 | 0 | 15.2 | 0 | 0 | | | 3585672 |
| | DSP BLACKROCK MICRO CAP FUND | AAAJD0430B | 0 | 1052244 | 0 | 0 | 1052244 | 4.46 | 1052244 | 0 | 1052244 | 4.46 | 0 | 4.46 | 0 | 0 | | | 1052244 |
| | HDFC TRUSTEE COMPANY LIMITED - HDFC PRUDENCE FUND | AAATH1809A | 0 | 1144500 | 0 | 0 | 1144500 | 4.85 | 1144500 | 0 | 1144500 | 4.85 | 0 | 4.85 | 0 | 0 | | | 1144500 |
| | IDFC PREMIER EQUITY FUND | AAETS9556K | 0 | 1340792 | 0 | 0 | 1340792 | 5.68 | 1340792 | 0 | 1340792 | 5.68 | 0 | 5.68 | 0 | 0 | | | 1340792 |
| b | Venture Capital Funds | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| c | Alternate Investment Funds | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| d | Foreign Venture Capital Investors | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |

| | | | | | | | | | | | | | | | | | | |
|----|--|--|------|---------|---|---|---------|-------|---------|---|---------|-------|---|-------|---|---|---|---------|
| e | Foreign Portfolio Investors | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| f | Financial Institutions/ Banks | | 2 | 6115 | 0 | 0 | 6115 | .03 | 6115 | 0 | 6115 | .03 | 0 | .03 | 0 | 0 | | 6115 |
| g | Insurance Companies | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| h | Provident Funds/ Pension Funds | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| i | Any Other (specify) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| | Sub-Total (B)(1) | | 8 | 3591787 | 0 | 0 | 3591787 | 15.23 | 3591787 | 0 | 3591787 | 15.23 | 0 | 15.23 | 0 | 0 | | 3591787 |
| 2 | Central Government/ State Government(s)) / President of India | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| | Sub-Total (B)(2) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| 3 | Non-institutions | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| a | Individuals - | | 7981 | 3392871 | 0 | 0 | 3392871 | 14.39 | 3392871 | 0 | 3392871 | 14.39 | 0 | 14.39 | 0 | 0 | 0 | 3370250 |
| i | Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | | 7969 | 1853109 | 0 | 0 | 1853109 | 7.86 | 1853109 | 0 | 1853109 | 7.86 | 0 | 7.86 | 0 | 0 | | 1830488 |
| ii | Individual | | 12 | 153970 | 0 | 0 | 153970 | 6.53 | 153970 | 0 | 153970 | 6.53 | 0 | 6.53 | 0 | 0 | | 1539762 |

| | | | | | | | | | | | | | | | | | | |
|---|--|------------|-----|---------|---|---|---------|-------|---------|---|---------|-------|---|-------|---|---|--|---------|
| | shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | | 62 | | | 2 | | 62 | | 9762 | | | | | | | |
| | ASHISH KACHOLIA | AADPK0757F | 0 | 549587 | 0 | 0 | 549587 | 2.33 | 549587 | 0 | 549587 | 2.33 | 0 | 2.33 | 0 | 0 | | 549587 |
| | SURESH KUMAR AGARWAL | AAGFR0822H | 0 | 535957 | 0 | 0 | 535957 | 2.27 | 535957 | 0 | 535957 | 2.27 | 0 | 2.27 | 0 | 0 | | 535957 |
| b | NBFCs registered with RBI | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 |
| c | Employee Trusts | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 |
| d | Overseas Depositories (holding DRs) (balancing figure) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 |
| e | Any Other (specify) | | 998 | 776510 | 0 | 0 | 776510 | 32.92 | 776510 | 0 | 776510 | 32.92 | 0 | 32.92 | 0 | 0 | | 776510 |
| | Trust | | 6 | 7693 | 0 | 0 | 7693 | .03 | 7693 | 0 | 7693 | .03 | 0 | .03 | 0 | 0 | | 7693 |
| | Foreign Nationals | | 2 | 19285 | 0 | 0 | 19285 | .08 | 19285 | 0 | 19285 | .08 | 0 | .08 | 0 | 0 | | 19285 |
| | Non-Resident Indian (NRI) | | 357 | 1020835 | 0 | 0 | 1020835 | 4.33 | 1020835 | 0 | 1020835 | 4.33 | 0 | 4.33 | 0 | 0 | | 1020835 |
| | SAMEER MAHENDRA SAMPAT | AAEPS4095B | 0 | 558500 | 0 | 0 | 558500 | 2.37 | 558500 | 0 | 558500 | 2.37 | 0 | 2.37 | 0 | 0 | | 558500 |
| | Clearing member | | 39 | 12860 | 0 | 0 | 12860 | .05 | 12860 | 0 | 12860 | .05 | 0 | .05 | 0 | 0 | | 12860 |

| | | | | | | | | | | | | | | | | | | |
|--|---|------------|------|----------|---|---|----------|-------|----------|---|----------|-------|---|-------|---|---|--|----------|
| | Overseas Corporate Bodies | | 25 | 5598350 | 0 | 0 | 5598350 | 23.73 | 5598350 | 0 | 5598350 | 23.73 | 0 | 23.73 | 0 | 0 | | 5598350 |
| | EMBLEM FII | AACE6354Q | 0 | 739004 | 0 | 0 | 739004 | 3.13 | 739004 | 0 | 739004 | 3.13 | 0 | 3.13 | 0 | 0 | | 739004 |
| | KITARA PIIN 1001 | AAECK3482F | 0 | 300000 | 0 | 0 | 300000 | 12.72 | 300000 | 0 | 300000 | 12.72 | 0 | 12.72 | 0 | 0 | | 300000 |
| | KITARA PIIN 1001 | AAECK4362Q | 0 | 852000 | 0 | 0 | 852000 | 3.61 | 852000 | 0 | 852000 | 3.61 | 0 | 3.61 | 0 | 0 | | 852000 |
| | GOLDMAN SACHS INDIA LIMITED | AADCG0260C | 0 | 626434 | 0 | 0 | 626434 | 2.66 | 626434 | 0 | 626434 | 2.66 | 0 | 2.66 | 0 | 0 | | 626434 |
| | Hindu Undivided Family | | 185 | 181930 | 0 | 0 | 181930 | .77 | 181930 | 0 | 181930 | .77 | 0 | .77 | 0 | 0 | | 181930 |
| | Body Corporate | | 384 | 924157 | 0 | 0 | 924157 | 3.92 | 924157 | 0 | 924157 | 3.92 | 0 | 3.92 | 0 | 0 | | 924157 |
| | Sub-Total (B)(3) | | 8979 | 11157981 | 0 | 0 | 11157981 | 47.31 | 11157981 | 0 | 11157981 | 47.31 | 0 | 47.31 | 0 | 0 | | 11135360 |
| | Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3) | | 8987 | 14749768 | 0 | 0 | 14749768 | 62.54 | 14749768 | 0 | 14749768 | 62.54 | 0 | 62.54 | 0 | 0 | | 14727147 |

Table IV- Statements showing shareholding pattern of the Non Promoter-Non Public shareholder

| Category & Name of the Shareholders (I) | PAN (II) | No. of share holder (III) | No. of fully paid up equity share held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total no. shares held (VII= IV+V+VI) | Shareholding % calculate as per SCRR, 1957 (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Total shareholding, as assuming full conversion of convertible securities (XI) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) (Not Applicable) | |
|---|--|---------------------------|---|---------------------------------------|--|--------------------------------------|--|---|---------|-------|--|--|-------------------------------------|-----|---|----------------------|--|--|
| | | | | | | | | No of Voting Rights | | | | | Total as a % of Total Voting rights | No. | As a % of total Share held | No. (Not applicable) | | As a % of total shares held (Not applicable) |
| | | | | | | | | Class X | Class Y | Total | | | | | | | | |
| | | | | | | | | | | | | | | | | | | |
| 1 | Custodian/DR Holder | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 2 | Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| | Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |

Table II- Unclaim Details

| | |
|--|------------------|
| Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. | |
| No. of shareholders | No of share held |

Table III- Unclaim Details

| | |
|--|-------------------------|
| Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. | |
| No. of shareholders | No of share held |

Table III- Person in Concert

| | | | |
|---|--------------------|---------------------|------------------|
| Details of the shareholders acting as persons in Concert including their Shareholding | | | |
| Name of shareholder | Name of PAC | No. of share | Holding % |