

1. Name of Listed Entity: APL Apollo Tubes Limited
2. Scrip Code/Name of Scrip/Class of Security: APLAPOLLO
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2017
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I-Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying (X)	Shareholding, as a % assuming full conversion of convertible securities (including Warrants) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class seg: X	Class seg: y	Total			Total as a % of (A+B+C)	No. (a)	As a % of total Share held (b)	No. (a)	
A	Promoter & Promoter	3	8840187	0	0	8840187	37.47	8840187	0	8840187	37.47	0	0.00			8840187	

	Group									7								
B	Public	10991	14749768	0	0	14749768	62.53	14749768	0	14749768	62.53	0	62.53	0	0.00			14745947
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total	10994	23589955	0	0	23589955	100.00	23589955	0	23589955	100.00	0	100.00	0	0.00			23586134

Table II- Statements showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity share held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII= IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI)= (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								Class X	Class Y	Total			No. (a)	As a % of total Share held (b)	No. (a)	As a % of total share held (b)			
1	Indian		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
a	Individuals/Hindu undivided Family		2	815000	0	0	815000	3.45	815000	0	815000	3.45	0	3.45	0	0.00			815000
	Veera Gupta	AAG PG37 69C		750000	0	0	750000	3.18	750000	0	750000	3.18	0	3.18	0	0.00			750000
	ASHOK KUMAR GUPTA	AAC PG19 51E		65000	0	0	65000	0.28	65000	0	65000	0.28	0	0.28	0	0.00			65000
b	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Any Other (specify)		1	8025187	0	0	8025187	34.02	8025187	0	8025187	34.02	0	34.02	0	0.00			8025187
	Body		1	8025187	0	0	8025187	34.02	8025187	0	8025187	34.02	0	34.02	0	0.00			8025187

	Corporate			87			7		87		5187							
	APL INFRASTRUCTURE PRIVATE LIMITED	AAD CB0069J		8025187	0	0	8025187	34.02	8025187	0	8025187	34.02	0	34.02	0	0.00		8025187
	Sub-Total (A)(1)		3	8840187	0	0	8840187	37.47	8840187	0	8840187	37.47	0	37.47	0	0.00		8840187
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	8840187	0	0	8840187	37.47	8840187	0	8840187	37.47	0	37.47	0	0.00		8840187

Table III- Statements showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shares held (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held = IV+V+VI	Shareholding % calculated as per SCR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
a	Mutual Funds/		6	3403738	0	0	3403738	14.43	3403738	0	3403738	14.43	0	14.43	0	0.00			3403738
	HDFC TRUSTEE COMPANY LIMITED - HDFC PRUDENCE FUND	AAATH1809A	0	1121200	0	0	1121200	4.75	1121200	0	1121200	4.75	0	4.75	0	0.00			1121200
	IDFC PREMIER EQUITY FUND	AAETS9556K	0	1252304	0	0	1252304	5.31	1252304	0	1252304	5.31	0	5.31	0	0.00			1252304
	DSP BLACKROCK MICRO CAP FUND	AAAJD0430B	0	982098	0	0	982098	4.16	982098	0	982098	4.16	0	4.16	0	0.00			982098
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

e	Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
f	Financial Institutions/ Banks		2	6931	0	0	6931	0.03	6931	0	6931	0.03	0	0.03	0	0.00			6931
g	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
i	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(1)		8	3410669	0	0	3410669	14.46	3410669	0	3410669	14.46	0	14.46	0	0.00			3410669
2	Central Government/ State Government(s)) / President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
3	Non-institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals -		9749	3481616	0	0	3481616	14.76	3481616	0	3481616	14.76	0	14.76	0	0.00	0	0.00	3477795
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		9738	1919180	0	0	1919180	8.14	1919180	0	1919180	8.14	0	8.14	0	0.00			1915359
ii	Individual		11	156240	0	0	156243	6.62	156240	0	156243	6.62	0	6.62	0	0.00			1562436

	shareholders holding nominal share capital in excess of Rs. 2 lakhs.			36			6		36		2436							
	ASHISH KACHOLIA	AAD PK07 57F	0	574587	0	0	574587	2.44	574587	0	574587	2.44	0	2.44	0	0.00		574587
	SURESH KUMAR AGARWAL	AAG FR08 22H	0	560957	0	0	560957	2.38	560957	0	560957	2.38	0	2.38	0	0.00		560957
b	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
e	Any Other (specify)		1234	7857483	0	0	7857483	33.31	7857483	0	7857483	33.31	0	33.31	0	0.00		7857483
	Trust		8	7762	0	0	7762	0.03	7762	0	7762	0.03	0	0.03	0	0.00		7762
	Foreign Nationals		2	19285	0	0	19285	0.08	19285	0	19285	0.08	0	0.08	0	0.00		19285
	Non-Resident Indian (NRI)		441	1039096	0	0	1039096	4.40	1039096	0	1039096	4.40	0	4.40	0	0.00		1039096
	SAMEER MAHENDRA SAMPAT	AAE PS40 95B	0	558500	0	0	558500	2.37	558500	0	558500	2.37	0	2.37	0	0.00		558500
	Clearing member		41	12067	0	0	12067	0.05	12067	0	12067	0.05	0	0.05	0	0.00		12067

	Overseas Corporate Bodies		25	5592693	0	0	5592693	23.71	5592693	0	5592693	23.71	0	23.71	0	0.00		5592693
	EMBLEM FII	AACE6354Q	0	739004	0	0	739004	3.13	739004	0	739004	3.13	0	3.13	0	0.00		739004
	KITARA PIIN 1001	AAECK3482F	0	300000	0	0	300000	12.72	300000	0	300000	12.72	0	12.72	0	0.00		300000
	KITARA PIIN 1001	AAECK4362Q	0	852000	0	0	852000	3.61	852000	0	852000	3.61	0	3.61	0	0.00		852000
	GOLDMAN SACHS INDIA LIMITED	AADCG0260C	0	626434	0	0	626434	2.66	626434	0	626434	2.66	0	2.66	0	0.00		626434
	Hindu Undivided Family		228	198909	0	0	198909	0.84	198909	0	198909	0.84	0	0.84	0	0.00		198909
	Body Corporate		489	987671	0	0	987671	4.19	987671	0	987671	4.19	0	4.19	0	0.00		987671
	Sub-Total (B)(3)		10983	11339099	0	0	11339099	48.07	11339099	0	11339099	48.07	0	48.07	0	0.00		11335278
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		10991	14749768	0	0	14749768	62.53	14749768	0	14749768	62.53	0	62.53	0	0.00		14745947

Table IV- Statements showing shareholding pattern of the Non Promoter-Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity share held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII= IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)		
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Share held	No. (Not applicable)		As a % of total shares held (Not applicable)	
								Class X	Class Y	Total									
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %