

1. Name of Listed Entity: APL Apollo Tubes Limited
2. Scrip Code/Name of Scrip/Class of Security: APLAPOLLO
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2018
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Classes eg: X	Classes eg: y	Total								
A	Promoter &	3	8840187	0	0	884018	37.25	8840	0	884	37.25	0	37.25	0	0.00		8840187	

	Promoter Group					7		187		0187								
B	Public	21887	14889618	0	0	14889618	62.75	14889618	0	14889618	62.75	0	62.75	0	0.00			14889615
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total	21890	23729805	0	0	23729805	100.00	23729805	0	23729805	100.00	0	100.00	0	0.00			23729802

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of share holder	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding %, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of shares held in dematerialized form (XIV)		
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)			
1	Indian		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
a	Individuals/Hindu undivided Family		2	815000	0	0	815000	3.43	815000	0	815000	3.43	0	3.43	0	0.00			815000
	ASHOK KUMAR GUPTA	AAC PG19 51E	1	65000	0	0	65000	0.27	65000	0	65000	0.27	0	0.27	0	0.00			65000
	Veera Gupta	AAG PG37 69C	1	750000	0	0	750000	3.16	750000	0	750000	3.16	0	3.16	0	0.00			750000
b	Central Government/ State Government(s)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
c	Financial Institutions/ Banks		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
d	Any Other (specify)		1	8025187	0	0	8025187	33.82	8025187	0	8025187	33.82	0	33.82	0	0.00			8025187
	Bodies		1	8025187	0	0	8025187	33.82	8025187	0	8025187	33.82	0	33.82	0	0.00			8025187

	Corporate			87			7		87		5187							
	APL INFRASTRUCTURE PRIVATE LIMITED	AAD CB00 69J	1	8025187	0	0	8025187	33.82	8025187	0	8025187	33.82	0	33.82	0	0.00		8025187
	Sub-Total (A)(1)		3	8840187	0	0	8840187	37.25	8840187	0	8840187	37.25	0	37.25	0	0.00		8840187
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	8840187	0	0	8840187	37.25	8840187	0	8840187	37.25	0	37.25	0	0.00		8840187

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
l	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
a	Mutual Funds/		16	3085150	0	0	3085150	13.00	3085150	0	3085150	13.00	0	0.00				3085150	
	KOTAK EMERGING EQUITY SCHEME	AAA TK4475F	1	499838	0	0	499838	2.11	499838	0	499838	2.11	0	0.00				499838	
	DSP BLACKROCK SMALL CAP FUND	AAAJ D0430B	1	968898	0	0	968898	4.08	968898	0	968898	4.08	0	0.00				968898	
	IDFC MULTI CAP FUND	AAE TS9556K	1	376228	0	0	376228	1.59	376228	0	376228	1.59	0	0.00				376228	
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
c	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
e	Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
f	Financial		2	13230	0	0	13230	0.06	13230	0	13230	0.06	0	0.00				13230	

	Institutions/ Banks										30							
g	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
i	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Sub-Total (B)(1)		18	30983	0	0	30983	13.06	30983	0	309	13.06	0	13.06	0	0.00		3098380
2	Central Government/ State Government(s) / President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
3	Non- institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
a	Individuals -		18403	31329	0	0	31329	13.20	31329	0	313	13.20	0	13.20	0	0.00	0	0.00
			43	43		3	3		43		29			43				43
											3							
											29							
ii	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		18394	24673	0	0	24673	10.40	24673	0	246	10.40	0	10.40	0	0.00		2467319
				22		2			22		73			22				2
ii	Individual shareholders holding nominal share capital in		9	66562	0	0	66562	2.80	66562	0	665	2.80	0	2.80	0	0.00		665621
				1		1			1		62			1				1

	excess of Rs. 2 lakhs.																		
	SURESH KUMAR AGARWAL	AAG FR08 22H	1	30000 0	0	0	300000	1.26	30000 0	0	300 000	1.26	0	1.26	0	0.00			300000
b	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Any Other (specify)		3466	86582 95	0	0	865829 5	36.49	865820 95	0	865 829 5	36.49	0	36.49	0	0.00			8658295
	Trusts		6	4257	0	0	4257	0.02	4257	0	425 7	0.02	0	0.02	0	0.00			4257
	Foreign Nationals		2	25275	0	0	25275	0.11	25275	0	252 75	0.11	0	0.11	0	0.00			25275
	Overseas Corporate Bodies		54	60411 56	0	0	604115 6	25.46	604110 56	0	604 115 6	25.46	0	25.46	0	0.00			6041156
	WF ASIAN RECONNAIS SANCE FUND LIMITED	AAB CW5 771E	1	42206 5			422065	1.78	42206 5		422 065	1.78	0	1.78		0.00			422065
	EMBLEM FII	AAC CE63 54Q	1	23900 4			239004	1.01	23900 4		239 004	1.01	0	1.01		0.00			239004
	GOLDMAN SACHS INDIA LIMITED	AAD CG02 60C	1	81215 3			812153	3.42	81215 3		812 153	3.42	0	3.42		0.00			812153

	KITARA PIIN 1101	AAE CK43 62Q	1	85200 0			852000	3.59	85200 0		852 000	3.59	0	3.59		0.00		852000
	KITARA PIIN 1001	AAE CK34 82F	1	30000 00			300000	12.64	30000 00		300 000 0	12.64	0	12.64		0.00		3000000
	Bodies Corporate		613	11206 92	0	0	112069 2	4.72	11206 92	0	112 069 2	4.72	0	4.72	0	0.00		1120692
	HUF		479	15630 5	0	0	156305	0.66	15630 5	0	156 305	0.66	0	0.66	0	0.00		156305
	Non-Resident Indian (NRI)		2280	12178 61	0	0	121786 1	5.13	12178 61	0	121 786 1	5.13	0	5.13	0	0.00		1217861
	Non-Resident Indian (NRI)		55850 0	55850 0	0	0	558500	2.35	55850 0	0	558 500	2.35	0	2.35	0	0.00		558500
	SAMEER MAHENDRA SAMPAT	AAE PS40 95B	1	55850 0	0	0	558500	2.35	55850 0	0	558 500	2.35	0	2.35	0	0.00		558500
	Clearing member		32	92749	0	0	92749	0.39	92749	0	927 49	0.39	0	0.39	0	0.00		92749
	Sub-Total (B)(3)		21869	11791 238	0	0	117912 38	49.69	11791 238	0	117 912 38	49.69	0	49.69	0	0.00		11791235
	Total Public Shareholding (B)= (B)(1)+(B)(2) +(B)(3)		21887	14889 618	0	0	148896 18	62.75	14889 618	0	148 896 18	62.75	0	62.75	0	0.00		14889615

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %