

1. Name of Listed Entity: APL Apollo Tubes Limited
2. Scrip Code/Name of Scrip/Class of Security: APLAPOLLO
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Dec-2016
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I-Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class seg: X	Class seg: y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Promoter & Promoter	2	9140187	0	0	9140187	38.75	9140187	0	9140187	38.75	0	0			9140187	

	Group									7								
B	Public	6309	14449768	0	0	14449768	61.25	14449768	0	14449768	61.25	0	61.25	0	0			14408047
C	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	6311	23589955	0	0	23589955	100	23589955	0	23589955	100	0	100	0	0			23548234

Table II- Statements showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity share held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII= IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as assuming full conversion of convertible securities (XI)= (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								Asa % of (A+B+C2) (VIII)	No of Voting Rights				Total as a % of Total Voting rights	No. (a)	Asa % of total Share held (b)	No. (a)		Asa % of total share held (b)	
									Class X	Class Y									Total
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
a	Individuals/Hindu undivided Family		1	1115000	0	0	1115000	4.73	1115000	0	1115000	4.73	0	0	0	0	0	1115000	
	ASHOK KUMAR GUPTA	AAC PG19 51E		1115000	0	0	1115000	4.73	1115000	0	1115000	4.73	0	0	0	0	0	1115000	
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
d	Any Other (specify)		1	8025187	0	0	8025187	34.02	8025187	0	8025187	34.02	0	0	0	0	0	8025187	
	Body Corporate		1	8025187	0	0	8025187	34.02	8025187	0	8025187	34.02	0	0	0	0	0	8025187	
	APL	AAD		8025187	0	0	8025187	34.02	8025187	0	8025187	34.02	0	0	0	0	0	8025187	

	INFRASTRUCTURE PRIVATE LIMITED	CB0069J		87			7		87		5187							
	Sub-Total (A)(1)		2	9140187	0	0	9140187	38.75	9140187	0	9140187	38.75	0	38.75	0	0		9140187
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		2	9140187	0	0	9140187	38.75	9140187	0	9140187	38.75	0	38.75	0	0		9140187

Table III- Statements showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity share held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held = (IV+V+VI) (VII)	Shareholding % calculated as per SCR, 1957	Number of Voting Rights held in each class of securities (IX)	No. of Shares Underlying Outstanding convertibles securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (X)	Number of Locked in shares (XI)	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
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				V)				Asa%of(A+B+C2) VIII	NoofVotingRights			Totalas a%ofTo talVotin grights	nts)(X)	ertiblesecur ities(asaper centageofdi lutedsharec apital) (XI)	No. (a)	Asa %oft otal Shar esh eld( b)	No. (No tap pli ca ble ) (a)	Asa %ofto talsha reshe ld(Not applic able) (b)	
									Class X	Class Y	Tot al								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Mutual Funds/		6	41264 68	0	0	412646 8	17.49	412640 68	0	412 646 8	17.49	0	17.49	0	0			4126468
	DSP BLACKROC K 3 YEARS CLOSE ENDED EQUITY FU	AXE PK12 99E	0	32024 8	0	0	320248	1.36	320240 8	0	320 248	1.36	0	1.36	0	0			320248
	DSP BLACKROC K MICRO CAP FUND	AAA D043 0B	0	10676 80	0	0	106768 0	4.53	106760 80	0	106 768 0	4.53	0	4.53	0	0			1067680
	HDFC TRUSTEE COMPANY LIMITED - HDFC PRUDENC FUND	AAA TH18 09A	0	11660 00	0	0	116600	4.94	116600	0	116 600 0	4.94	0	4.94	0	0			1166000
	IDFC PREMIER EQUITY FUND	AAE TS95 56K	0	15436 99	0	0	154369	6.54	154360 99	0	154 369 9	6.54	0	6.54	0	0			1543699
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0

	Venture Capital Investors																		
e	Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
f	Financial Institutions/ Banks		2	2146	0	0	2146	.01	2146	0	2146	.01	0	.01	0	0			2146
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	Any Other (specify)		3	127395	0	0	127395	.54	127395	0	127395	.54	0	.54	0	0			127395
	Other		3	127395	0	0	127395	.54	127395	0	127395	.54	0	.54	0	0			127395
	Sub-Total (B)(1)		11	425609	0	0	425609	18.04	425609	0	425609	18.04	0	18.04	0	0			425609
2	Central Government/ State Government(s) )/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -		5516	3167829	0	0	3167829	13.43	3167829	0	3167829	13.43	0	13.43	0	0	0	0	3126108
i	Individual shareholders		5503	1475809	0	0	1475809	6.26	1475809	0	1475809	6.26	0	6.26	0	0			1434088

	holding nominal share capital up to Rs. 2 lakhs.									9								
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		13	169200	0	0	169200	7.17	169200	169200	7.17	0	7.17	0	0			169200
	ASHISH KACHOLIA	AAD PK07 57F	0	549587	0	0	549587	2.33	549587	549587	2.33	0	2.33	0	0			549587
	SURESH KUMAR AGARWAL	AAG FR08 22H	0	535957	0	0	535957	2.27	535957	535957	2.27	0	2.27	0	0			535957
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		782	7025930	0	0	7025930	29.78	7025930	7025930	29.78	0	29.78	0	0			7025930
	Trust		4	1766	0	0	1766	.01	1766	1766	.01	0	.01	0	0			1766
	Foreign Nationals		2	17265	0	0	17265	.07	17265	17265	.07	0	.07	0	0			17265
	Non-Resident Indian (NRI)		263	1046146	0	0	1046146	4.43	1046146	1046146	4.43	0	4.43	0	0			1046146

	SAMEER MAHENDRA SAMPAT	AAE PS40 95B	0	558500	0	0	558500	2.37	558500	0	558500	2.37	0	2.37	0	0		558500
	Clearing member		50	175100	0	0	175100	.07	175100	0	175100	.07	0	.07	0	0		175100
	Overseas Corporate Bodies		20	4808430	0	0	4808430	20.38	4808430	0	4808430	20.38	0	20.38	0	0		4808430
	EMBLEM FII	AAC CE63 54Q	0	739004	0	0	739004	3.13	739004	0	739004	3.13	0	3.13	0	0		739004
	KITARA PIIN 1001	AAE CK34 82F	0	300000	0	0	300000	12.72	300000	0	300000	12.72	0	12.72	0	0		300000
	KITARA PIIN 1001	AAE CK43 62Q	0	852000	0	0	852000	3.61	852000	0	852000	3.61	0	3.61	0	0		852000
	Hindu Undivided Family		167	315793	0	0	315793	1.34	315793	0	315793	1.34	0	1.34	0	0		315793
	Body Corporate		276	819020	0	0	819020	3.47	819020	0	819020	3.47	0	3.47	0	0		819020
	Sub-Total (B)(3)		6298	10193759	0	0	10193759	43.21	10193759	0	10193759	43.21	0	43.21	0	0		10152038
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		6309	14449768	0	0	14449768	61.25	14449768	0	14449768	61.25	0	61.25	0	0		14408047

Table IV- Statements showing shareholding pattern of the Non Promoter-Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share older (III)	No. of fully paid up equity share held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII= IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Share held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
<b>Name of shareholder</b>	<b>Name of PAC</b>	<b>No. of share</b>	<b>Holding %</b>